



PATENTS
Attorney Docket No. 36119-126

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Carl June *et al.*
Serial No.: 09/027,205
Filing Date: February 20, 1998
Title: **Methods for Modulating Expression of an HIV-1 Fusion Cofactor**

Art Unit: 1644
Examiner: J. Roark

TECH CENTER 1600/2900

BOX AF

Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that the attached papers are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10 on April 9, 2002 addressed to: **BOX AF**, Assistant Commissioner for Patents, Washington, D.C.

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Teresa Carvalho
Teresa Carvalho

DECLARATION UNDER 37 C.F.R. § 1.132
(In Re Katz Declaration)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

M.D.
I, Carl H. June, Ph.D., declare the following:

- y 4/26/02*
1. I am an applicant of the above-identified patent application and a co-inventor of the subject matter described and claimed therein.
 2. I am the inventor of the subject matter described and claimed in this application for the methods directed to downregulating HIV-1 fusion cofactor expression in a T cell,

by contacting the T cell with a solid phase surface comprising an anti-CD28 antibody and an anti-CD3 antibody.

3. I am one of twelve co-authors of a journal article entitled "Antiviral effect and ex vivo CD4+ T cell proliferation in HIV-positive patients as a result of CD28 costimulation" by Bruce L. Levine, Joseph D. Mosca, James L. Riley, Richard G. Carroll, Maryanne T. Vahey, Linda L. Jagodzinski, Kenneth F. Wagner, Douglas L. Mayers, Donald S. Burke, Owen S. Weislow, Daniel St. Louis, and myself published in *Science*, vol. 272:1939-1942 (1996). The work described in the Levine *et al.* paper cited by the Examiner against this patent application describes our own work.

4. While Bruce L. Levine, Joseph D. Mosca, Maryanne T. Vahey, Linda L. Jagodzinski, Kenneth F. Wagner, Douglas L. Mayers, Donald S. Burke, Owen S. Weislow were named as co-authors for their technical assistance to the experiments described in the Levine *et al.* paper, they did not contribute to the conception of the claimed invention. For that reason, they are not named as a co-inventors on the patent application.

5. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that the statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

April 26, 2002
Date

Carl H. June
Carl H. June, PhD.
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